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| Rami Shoula  Compliance Officer | |
| |  |  | | --- | --- | |  | Profile Experienced and driven Compliance Officer with a proven track record of helping to lead companies by ensuring they are functioning in complete compliance with all national and international rules and regulations.  I possess excellent communication skills, allowing for the cultivation of positive and mutually beneficial business relationships, while keeping strong ethics at the forefront of my goals. I bring forth a superior knowledge of compliance laws and regulations, as well as standard business law. |  |  |  | | --- | --- | |  | Employment HistoryCompliance Officier at Mutual Impact Inc. , New York October 2009 — September 2019   * Handled regulatory inquiries from SEC, FINRA, CFTC, CME, NFA and foreign regulators. * Researched, interpreted, and advised the company regarding compliance with laws and regulations. * Wrote instructions for various departments on how to interpret and implement new guidelines. * Developed remedial action plans when needed if audit findings called for action.  Compliance Officer at Capital Lending, New York September 2009 — September 2013   * Provided valuable compliance advice to the business unit management regarding policies, controls, and procedures. * Updated the business unit management when regulatory requirements or regulatory environment changes. * Provided ongoing support to maintain and uphold the compliance program. * Managed and coordinate the regulatory affairs of Capital Lending Asset management. * Prepared accurate reports for filing. * Monitored registration, licensing, and training requirements for employees. * Reviewed marketing materials as necessary. * Act as an effective liaison with regulatory agencies.  Executive Assistant at Capital Lending, New York October 2007 — August 2009   * Provided top of the line administrative and clerical support to a Senior Broker at Capital Lending. * Learned important terminology and processes to provide the best assistance possible. * Handled calendars, inquiries, and the recording and reporting of messages. * Booked conference rooms for meetings and sent out meeting itineraries. * Assisted with any company initiatives that benefited from further support. * Worked to promote the mission and integrity of Capital Lending. |  |  |  | | --- | --- | |  | EducationAssociate of Communications, Los Angeles City College, Los Angeles September 2006 — May 2008 High School Diploma, Laguna Beach High School, Laguna Beach September 2002 — May 2006 |  |  |  | | --- | --- | |  | ReferencesEva Sataro from Capital Lending [sataro@capitallend.com](mailto:sataro@capitallend.com) · 890-367-3456 Jake Ringenfelser from Mutual Impact Inc. [jrwork@mutualii.com](mailto:jrwork@mutualii.com) · 462-283-2828 Lindsay Holtmeyer from Mutual Impact Inc. [lhwork@mutualii.com](mailto:lhwork@mutualii.com) · 462-283-6888 | | DetailsSkills  |  |  | | --- | --- | | Critical Thinking | | |  |  |  |  |  | | --- | --- | | Result Oriented | | |  |  |  |  |  | | --- | --- | | Communication Skills | | |  |  |  |  |  | | --- | --- | | Leadership | | |  |  | |